

Bounds on (n, r) -arcs and their application to linear codes

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Abstract

This article reviews some of the principal and recently-discovered lower and upper bounds on the maximum size of (n, r) -arcs in $\text{PG}(2, q)$, sets of n points with at most r points on a line. Some of the upper bounds are used to improve the Griesmer bound for linear codes in certain cases. Also, a table is included showing the current best upper and lower bounds for $q \leq 19$, and a number of open problems are discussed.

1 Background

The *weight* of a vector v is the number of non-zero coordinates of v . Let V be the n -dimensional vector space over \mathbf{F}_q . A *linear* $[n, k, d]$ -code \mathcal{C} over \mathbf{F}_q is a k -dimensional subspace of V all of whose non-zero vectors have weight at least d . Let v_1, v_2, \dots, v_k be a basis for \mathcal{C} and for $i = 1, 2, \dots, n$ define vectors u_i of V , by the rule

$$(u_i)_j = (v_j)_i.$$

In other words, the j -th co-ordinate of u_i is the i -th coordinate of v_j . For all $a \in (\mathbf{F}_q)^k$ the vector $\sum_{j=1}^k a_j v_j$ has at most $n - d$ zero coordinates and so, for $i = 1, 2, \dots, n$,

$$\sum_{j=1}^k a_j (v_j)_i = 0$$

has at most $n - d$ solutions. Hence

$$\sum_{j=1}^k a_j (u_i)_j = 0$$

has at most $n - d$ solutions, or in other words there are at most $n - d$ of the n vectors u_i on the hyperplane with equation

$$\sum_{j=1}^k a_j X_j = 0.$$

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The matrix whose rows are the vectors v_i , or equivalently whose columns are the vectors u_i , is called a *generator matrix* of the code \mathcal{C} . An (n, r) -arc in $\text{PG}(k-1, q)$ is a set of n points \mathcal{K} with the property that every hyperplane is incident with at most r points of \mathcal{K} and there is some hyperplane incident with exactly r points of \mathcal{K} . Hence an $(n, n-d)$ -arc in $\text{PG}(k-1, q)$ is equivalent to a linear $[n, k, d]$ -code where $\langle u_i \rangle \neq \langle u_m \rangle$ for $i \neq m$, that is, linear codes for which any two columns of the generator matrix are linearly independent.

The aim of this article is to formulate the bounds on (n, r) -arcs as bounds that will look more familiar to coding theorists, to survey recent improvements and list a number of open problems.

For further background to linear codes see [43] or [36], and for (n, r) -arcs in $\text{PG}(2, q)$, see [32, Chapter 12]. In various articles and books, when r is large, the complement of a (n, r) -arc is considered; this is called a t -fold blocking set.

A t -fold blocking set with respect to hyperplanes is a set of points that is incident with at least t points of every hyperplane and there is some hyperplane incident with exactly t points of the set. In this article it is preferred to leave everything in the language of (n, r) -arcs. Note that an (n, r) -arc in $\text{PG}(k-1, q)$ is the complement of a $(q+1-r)$ -fold blocking set of hyperplanes of size $q^{k-1} + q^{k-2} + \dots + 1 - n$. An alternative description used is that of an $\{n, m; N, q\}$ -*minihyper* which is an m -fold blocking set with respect to hyperplanes of $\text{PG}(N, q)$ of size n .

2 Bounds on (n, r) -arcs

In this section, attention is restricted to the case $k = 3$; that is, (n, r) -arcs in the plane $\text{PG}(2, q)$ are considered.

Let \mathcal{K} be an (n, r) -arc and P be a point of \mathcal{K} . Each line incident with P contains at most $r-1$ points of $\mathcal{K} \setminus P$ and the trivial upper bound is obtained:

$$n \leq (r-1)(q+1) + 1 = (r-1)q + r.$$

Cossu [21] noted that when the upper bound is attained every line is incident with either zero or r points of \mathcal{K} and if $r \leq q$, by counting points of \mathcal{K} on lines incident with a point Q not in \mathcal{K} , that r divides q . In the case when q is even, such arcs exist for every r dividing q . In the cases $r = 2$ and $r = q/2$, the arcs are called *hyperovals* and *dual hyperovals* respectively; the known examples are detailed in [34]. There are examples for all r dividing q , due to Denniston. Recently, Mathon [41], Mathon and Hamilton [29] and Hamilton [28] constructed many new examples. In the case that q is odd the upper bound can be realised only in the trivial cases $r = 1$, $r = q$ and $r = q+1$. This was shown in [7]; see [6] for an easier proof. The investigation of (n, r) -arcs was initiated by Barlotti [8] whose early work now implies that, if $(r, q) \neq (2^t, 2^h)$ and $2 < r < q$, then

$$n \leq (r-1)q + r - 2.$$

An almost complete table of the known upper bounds can be found in [34, Table 5.2]. The only bound to have been published since then is in the case $r \mid q$ and q odd, where Weiner [46] improved Szőnyi's bound [42],

$$n \leq (r-1)q + r - \frac{1}{2}\sqrt[4]{q},$$

to

$$n \leq (r-1)q + r - \frac{1}{4}\sqrt{q}$$

for $r \leq \frac{1}{2}\sqrt{q}$. There is one bound that appears in [34, Table 5.2] which is attributed to an unpublished manuscript of the first author. However, the bound is not quite correct as the strictly less than should be a less than or equal to. It is obtained as a corollary to the following theorem, to which a proof is provided since it has not appeared anywhere else.

Theorem 2.1. *If there exists an $((r-1)q + \epsilon, r)$ -arc \mathcal{K} with $\epsilon \geq 1$ in a projective plane π of order q which has no skew line, then*

$$r^2 - \epsilon q + \epsilon(\epsilon - r) - r \geq 0.$$

Proof Let $n = (r-1)q + \epsilon$. Counting points of \mathcal{K} on each line through a point P of \mathcal{K} , it is seen that every line meets \mathcal{K} in at least ϵ points. Bruen's idea [17] is extended to look at the inequality,

$$\sum_{i=\epsilon}^r (r-i)(i-\epsilon)\tau_i \geq 0,$$

where τ_i is the number of lines meeting \mathcal{K} in i points. Standard counting arguments for a point set in a projective plane give

$$\sum_{i=\epsilon}^r \tau_i = q^2 + q + 1, \quad \sum_{i=\epsilon}^r i\tau_i = n(q+1), \quad \sum_{i=\epsilon}^r i(i-1)\tau_i = n(n-1),$$

and, combining these with the inequality, implies that

$$-n(n-1) + (\epsilon + r - 1)n(q+1) - \epsilon r(q^2 + q + 1) \geq 0.$$

By calculation this gives

$$r^2 - \epsilon q + \epsilon(\epsilon - r) - r \geq 0.$$

□

Corollary 2.2. *An (n, r) -arc \mathcal{K} in a projective plane π of order q which has no skew line satisfies*

$$n \leq (r-1)q + \left\lfloor \frac{r^2}{q} \right\rfloor,$$

and if \sqrt{q} divides r then

$$n < (r-1)q + \left\lfloor \frac{r^2}{q} \right\rfloor.$$

Proof Theorem 2.1 provides a contradiction for $\epsilon \geq r^2/q$. □

Corollary 2.2 in combination with the following from [4] can always be used to provide an upper bound.

An (n, r) -arc \mathcal{K} in a projective plane $\text{PG}(2, q)$ which has a skew line satisfies

$$n \leq (r-1)q + p^e,$$

where $(r, q) = p^e$.

Table A lists all r for which there is known to exist an (n, r) -arc with $n > (r - 2)q + r$ and the maximum value of n known in that case. An (n, r) -arc in $\text{PG}(2, q)$, with $n > (r - 2)q + r$, is equivalent to a code meeting the Griesmer bound, see Section 3.

In the table, the integer $q = p^h$ is *exceptional* if h is odd, $h \geq 3$ and p divides $\lfloor 2\sqrt{q} \rfloor$.

r	q	n	
2	q odd	$(r - 1)q + 1$	[13]
2^e	2^h	$(r - 1)q + r$	[26]
$r = 3$	q non-exceptional	$(r - 2)q + 1 + \lfloor 2\sqrt{q} \rfloor$	[45]
$r = 3$	q exceptional	$(r - 2)q + \lfloor 2\sqrt{q} \rfloor$	[45]
$r = \sqrt{q} + 1$	q square	$(r - 1)q + 1$	[14]
$r = (q + 1)/2$	q odd	$(r - 1)q + 1$	[9]
$r = (q + 3)/2$	q odd	$(r - 1)q + 1$	[9]
$r = q - \sqrt{q}$	q square	$(r - 1)q + \sqrt{q}$	[39]
$r = q - p^e$	$q = p^h$	$(r - 1)q + q - r$	[40]
$r \geq q + 2 - \sqrt{q}$,	q square	$(r - 1)q + r - \sqrt{q}(q + 1 - r)$	[31]
$r = q - 2$	q even	$(r - 1)q + 2$	[31]
$r = q - 2$	q odd	$(r - 1)q + 1$	[31]
$r = q - 1$	q	$(r - 1)q + 1$	[31]

Table A : The known families of (n, r) -arcs in $\text{PG}(2, q)$ with $n > (r - 2)q + r$

Large arcs can also be constructed from the set of rational points of an algebraic curve, sometimes by adding extra points; see Daskalov and Jiménez Contreras [24], Giulietti *et al.* [27] and Voloch [44].

3 Bounds on linear codes

In this section, some of the upper bounds on (n, r) -arcs are reformulated in terms of linear codes. This gives a Griesmer-like bound (3.1) for three-dimensional codes which is essentially nothing new but only novel in its formulation. Corollary 3.2 generalises the bound to higher-dimensional codes.

Recall that for a linear $[n, k, d]$ -code the Griesmer bound, [43, Theorem 5.2.6], states that

$$n \geq \sum_{i=0}^{k-1} \left\lceil \frac{d}{q^i} \right\rceil.$$

An (n, r) -arc in $\text{PG}(2, q)$ is equivalent to a linear $[n, 3, n - r]$ -code and so the Griesmer bound tells us, assuming $d \leq q^2$,

$$n \geq \sum_{i=0}^2 \left\lceil \frac{n - r}{q^i} \right\rceil = n - r + \left\lceil \frac{n - r}{q} \right\rceil + 1.$$

Hence we have the upper bound

$$n \leq (r - 1)q + r$$

and equality in the Griesmer bound if and only if $n > (r - 2)q + r$.

An (n, r) -arc in $\text{PG}(2, q)$ satisfies the upper bound,

$$n \leq (r - 1)q + 1,$$

in the cases when

- (i) (Blokhuis, see [2]) q is prime and $r \leq (q + 3)/2$;
- (ii) (Section 2) $(r, q) = 1$ and $r < \sqrt{2q} + 1$;
- (iii) (Blokhuis [12]) $(r, q) = 1$ and there is a line skew from the (n, r) -arc;
- (iv) (Weiner [46]) q is odd, $r \mid q$ and $r < \frac{1}{4}\sqrt{q}$.

The following theorem reformulates this bound in terms of linear codes. Put $q = p^h$, where p is prime.

Theorem 3.1. *Suppose that one of the following holds:*

- (i) q is prime and $d \leq (q - 1)(q + 3)/2$;
- (ii) $\lceil d/(q - 1) \rceil \not\equiv -1 \pmod{p}$ and $d \leq \sqrt{2q}(q - 1)$;
- (iii) $\lceil d/(q - 1) \rceil \not\equiv -1 \pmod{p}$, $d \leq q^2$ and there is a codeword of weight n ;
- (iv) q is odd, $n = d + p^e$ for some e and $d < (\frac{1}{4}\sqrt{q} - 1)(q - 1)$.

Then a linear $[n, 3, d]$ code over \mathbf{F}_q satisfies

$$n \geq d + \left\lceil \frac{d}{q - 1} \right\rceil + \left\lceil \frac{d}{q^2} \right\rceil. \quad (3.1)$$

Proof Let \mathcal{C} be a linear $[n, 3, d]$ -code over \mathbf{F}_q .

If \mathcal{C} has repeated columns in its generator matrix, it may be assumed that the first two columns are $(1, 0, 0)^t$. The matrix obtained by deleting the first two columns and the first row generates an $[n - 2, 2, d]$ linear code. Applying the Griesmer bound,

$$n - 2 \geq d + \left\lceil \frac{d}{q} \right\rceil,$$

from which the bound (3.1) follows since $d \leq q^2$.

If \mathcal{C} has no repeated columns, then an $(n, n - d)$ -arc in $\text{PG}(k - 1, q)$ is obtained. By assumption,

$$n \leq (n - d - 1)q + 1,$$

and so

$$n(q - 1) \geq d + d(q - 1) + q - 1.$$

Now, dividing by $q - 1$ gives the bound (3.1) for $d \leq q^2$.

- (i) The condition $r \leq (q+3)/2$ translates to $n \leq d + (q+3)/2$. Hence either the bound (3.1) holds or $n \geq d + (q+5)/2$, which is a better bound if $d \leq (q-1)(q+3)/2$.
- (ii) The condition $r < \sqrt{2q} + 1$ translates to $n < \sqrt{2q} + 1 + d$. Hence either the bound (3.1) holds or $n \geq \sqrt{2q} + 1 + d$ which is a better bound if $d \leq (q-1)\sqrt{2q}$. If equality in the bound violates the condition $(n-d, q) = 1$, then $\lceil d/(q-1) \rceil \equiv -1 \pmod{p}$.
- (iii) The condition that there is a line skew from the (n, r) -arc translates to the condition that there is a codeword of weight n .
- (iv) The condition $r \mid q$ translates to $n = d + p^e$ for some e . The bound (3.1) holds or $n \geq d + \frac{1}{4}\sqrt{q}$, which is a better bound if $d \leq (q-1)(\frac{1}{4}\sqrt{q} - 1)$.

□

Corollary 3.2. *Suppose one of the following holds:*

- (i) q is prime and $d \leq q^{k-3}(q-1)(q+3)/2$;
- (ii) $\lceil d/\{(q-1)q^{k-3}\} \rceil \not\equiv q-1 \pmod{p}$ and $d \leq \sqrt{2q}(q-1)q^{k-3}$;
- (iii) $\lceil d/\{(q-1)q^{k-3}\} \rceil \not\equiv q-1 \pmod{p}$, $d \leq q^{k-1}$ and there is a codeword of weight n ;
- (iv) q is odd, $n = \sum_{i=0}^{k-3} \lceil d/q^i \rceil + p^e$ for some e and $d < (\frac{1}{4}\sqrt{q} - 1)(q-1)q^{k-3}$.

Then a linear $[n, k, d]$ code over \mathbf{F}_q satisfies

$$n \geq \sum_{i=0}^{k-3} \left\lceil \frac{d}{q^i} \right\rceil + \left\lceil \frac{d}{(q-1)q^{k-3}} \right\rceil + \left\lceil \frac{d}{q^{k-1}} \right\rceil. \quad (3.2)$$

Proof Let $k \geq 4$ and let \mathcal{C} be a linear $[n, k, d]$ -code over \mathbf{F}_q .

If \mathcal{C} has repeated columns in its generator matrix, assume that the first two columns are $(1, 0, \dots, 0)^t$. The matrix obtained by deleting the first two columns and the first row generates an $[n-2, k-1, d]$ linear code. Applying the Griesmer bound,

$$n-2 \geq \sum_{i=0}^{k-2} \left\lceil \frac{d}{q^i} \right\rceil,$$

from which the bound (3.1) follows since $d \leq q^{k-1}$.

If \mathcal{C} has no repeated columns in its generator matrix, then let \mathcal{K} be the corresponding $(n, n-d)$ arc in $\text{PG}(k-1, q)$. There is a hyperplane H meeting \mathcal{K} in $n-d$ points or else \mathcal{C} would have minimum distance more than d . Let e be the minimum such that $H \cap \mathcal{K}$ is an $(n-d, n-d-e)$ -arc in $\text{PG}(k-2, q)$ and let L be a hyperplane meeting $H \cap \mathcal{K}$ in $n-d-e$ points. Then counting points of \mathcal{K} on hyperplanes containing L gives

$$n \leq eq + n - d,$$

and hence $e \geq \lceil d/q \rceil$. Thus $H \cap \mathcal{K}$ gives us an $[n-d, k-1, \lceil d/q \rceil]$ linear code.

By iteration an $[n-d - \lceil d/q \rceil - \dots - \lceil d/q^{k-4} \rceil, 3, \lceil d/q^{k-3} \rceil]$ linear code is obtained. Now, according to the conditions, Theorem 3.1 can be applied. □

4 Large (n, r) -arcs in small planes

Table B is an update of [34, Table 5.4] including results of Daskalov and Medotieva [22, 25] and the many new constructions of Braun *et al.* [16].

The new (n, r) -arcs from [16] were found in the following way. Let M be the point-line incidence matrix of $\text{PG}(2, q)$. Then an (n, r) -arc is given by a vector $x \in \{0, 1\}^{q^2+q+1}$ with the property that x has n coordinates equal to 1 and the coordinates of Mx are at most r . Even for small q the computation involved in solving these equations is unfeasible. For this reason the authors of [16] choose a subgroup G of the automorphism group of $\text{PG}(2, q)$ and consider the reduced incidence matrix M^G whose columns are the G -orbits on the points and whose rows are the G -orbits on the lines. If t is the number of orbits and w_j is the size of orbit of points corresponding to the j -th column of M^G , an (n, r) -arc is given by a vector $x \in \{0, 1\}^t$ with the property that $\sum_{j=1}^t x_j w_j = n$ and the coordinates of $M^G x$ are at most r . Various small subgroups G are used, the corresponding systems of diophantine equations are solved exhaustively by computer using lattice-point enumeration.

Table C provides all the references for the lower and upper bounds in Table B. The reference [*] means that the bound can be deduced from the bounds in Section 2. In the case of a $(98, 7)$ -arc in $\text{PG}(2, 16)$, a $(165, 11)$ -arc in $\text{PG}(2, 16)$, a $(182, 12)$ -arc in $\text{PG}(2, 16)$, there is equality in the bound of Theorem 2.1. This implies that such arcs, if they have no skew line, have only ϵ -secants and r -secants. Easy counting arguments give a contradiction. If they have a skew line the bound from [4] can be used.

The reference [**] refers to the following slight improvement of [2, Theorem 4.2]. If there is equality in the bound and $r \geq (q + 1)/2$ then the number of r -secants can be counted, following the same arguments as used for the small planes in [5], and is $(q - 1)(2q + 3 - 2r)(q + 1)/2(q + 1 - r)$. If this number is not an integer then the bound can be improved to

$$n \leq (r - 1)q + r - (q + 3)/2.$$

r	q	3	4	5	7	8	9	11	13	16	17	19
2		4	6	6	8	10	10	12	14	18	18	20
3			9	11	15	15	17	21	23	28...33	28...35	31...39
4				16	22	28	28	32...34	38...40	52	48...52	52...58
5					29	33	37	43...45	49...53	65	61...69	68...77
6					36	42	48	56	64...66	78...82	78...86	86...96
7						49	55	67	79	93...97	94...103	105...115
8							65	77...78	92	120	114...120	124...134
9								89...90	105	128...131	137	147...153
10								100...102	118...119	142...148	154	172
11									132...133	159...164	166...171	191
12									145...147	180...181	182...189	204...210
13										195...199	204...207	225...230
14										210...214	221...225	242...250
15										231	239...243	262...270
16											256...261	285...290
17												305...310
18												324...330

Table B : The size of the largest (n, r) -arc in $\text{PG}(2, q)$ for small q

r	q	3	4	5	7	8	9	11	13	16	17	19
2		[13]	[13]	[13]	[13]	[13]	[13]	[13]	[13]	[13]	[13]	[13]
3			[35]	[35]	[15]	[11]	[10]	[33]...[37]	[2]...[38]	[16]...[8]	[16]...[8]	[16]...[8]
4				[35]	[35]	[21]	[35]	[16]...[35]	[16]...[35]	[26]	[16]...[35]	[16]...[35]
5					[20]	[39]	[39]	[2]...[2]	[16]...[2]	[14]...[*]	[16]...[2]	[16]...[2]
6					[20]	[31]	[39]	[9]...[2]	[16]...[2]	[16]...[*]	[16]...[2]	[16]...[2]
7						[11]	[3]	[9]...[2]	[9]...[2]	[16]...[*]	[16]...[2]	[16]...[2]
8							[5]	[2]...[2]	[9]...[2]	[26]	[16]...[2]	[16]...[2]
9								[31]...[5]	[2]...[2]	[16]...[*]	[9]...[2]	[16]...[2]
10								[31]...[5]	[16]...[2]	[16]...[*]	[9]...[2]	[9]...[2]
11									[16]...[3]	[16]...[*]	[16]...[22]	[9]...[2]
12									[16]...[5]	[16]...[*]	[22]...[22]	[16]...[**]
13										[16]...[30]	[16]...[22]	[16]...[**]
14										[31]...[3]	[25]...[22]	[16]...[**]
15										[5]	[31]...[22]	[16]...[23]
16											[31]...[5]	[16]...[**]
17												[31]...[23]
18												[31]...[5]

Table C : References for the size of the largest (n, r) -arc in $\text{PG}(2, q)$ for small q

5 Open problems

1. In most cases no example is known of an (n, r) -arc with n/q large, say $n/q > r - 2$. The best that can be done in general is to take (a) for $r < q/2$ the union of $\lfloor r/2 \rfloor$ conics, which

gives $n/q > r/2$ and (b) for $r > q/2$ large the complement of the union of $2(q-r) + 1$ lines of a dual $(2(q-r) + 1, 2)$ -arc, which gives $n/q > q - 2r + (2r^2 - r)/q$.

2. In the case $r = 3$ there is a construction of size $q + \lfloor 2\sqrt{q} \rfloor$ for all q and an upper bound of $n \leq 2q + 1$. Any progress on determining a constant c such that the upper bound $n/q < c < 2$ for q large enough, or a construction where $n/q > c > 1$ for infinitely many q will be rewarded by a cheque for 10,000 Hungarian florins from Prof. A. Blokhuis.

3. In the case $r = q - 1$ Braun *et al.*'s [16] discovery of a $(145, 12)$ -arc in $\text{PG}(2, 13)$ ends speculation that an $(n, q - 1)$ -arc in $\text{PG}(2, q)$, q prime, satisfies $n \leq (q - 2)q + 1$; the so-called *3p conjecture for double blocking sets*, see [5]. It is known from [5] that $n \leq (q - 2)q + \frac{q-3}{2}$ but in general there is no better construction than the complement of three non-concurrent lines, which provides an example with $n = (q - 2)q + 1$. Any construction of a family of $(n, q - 1)$ -arcs in $\text{PG}(2, q)$, for infinitely many q prime, with $n \geq (q - 2)q + 2$ would be of interest.

4. For q prime, there are upper bounds on n due to Blokhuis which appear in [2]. For q non-prime and $r > \sqrt{q} + 1$, there are few upper bounds on n that use the fact that the projective plane is Desarguesian; in other words only counting arguments are used. The only exceptions are when $r > q - q^{1/6}$ and q is square.

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